

10-18-04

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Dated: October 14, 2004

Thomas L. Evans
Thomas L. Evans

Atty. Docket No.
005156.00011

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:

Timothy BROWN

Examiner: The T. Ho

Serial No.: 09/625,989

Group Art Unit: 2126

Filed: July 26, 2000

For: A METHOD FOR USING A FLOATING PALLET FOR A DIGITAL ASSET
MANAGEMENT SYSTEM IN A PLURALITY OF DIFFERENT APPLICATIONS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to his duty of disclosure under 37 C.F.R. §1.56, Applicant brings the following documents to the attention of the Examiner in the above-identified patent application:

- (1) U.S. Patent No. 5,915,250 to Jain et al., issued June 22, 1999;
- (2) U.S. Patent No. 5,918,228 to Rich et al., issued June 29, 1999;
- (3) U.S. Patent No. 6,072,994 to Phillips et al., issued June 6, 2000;
- (4) U.S. Patent No. 6,202,207 to Donohue, issued March 13, 2001;
- (5) U.S. Patent No. 6,332,146 to Jebens et al., issued December 18, 2001;
- (6) U.S. Patent No. 6,370,573 to Bowman-Amuah, issued April 9, 2002;
- (7) U.S. Patent No. 6,167,395 to Beck et al., issued December 26, 2000;

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- (8) Office Action dated June 4, 2004 from related application 09/625,991;
- (9) Office Action dated November 17, 2003 from related application 09/625,991;
- (10) Office Action dated May 22, 2003 from related application 09/625,991;
- (11) Office Action dated November 20, 2002 from related application 09/625,991; and
- (12) Office Action dated May 22, 2002 from related application 09/625,991.

A PTO-1449 form is included herewith listing these documents, and a copy of each of these documents is attached.

Since Official Actions have issued for this application, Applicant also submits herewith the fee in the amount of \$180 in accordance with 37 C.F.R. §1.97(e)(2), to be charged to Deposit Account No. 19-0733. If, however, the Commissioner deems that any additional fees are necessary for the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge such additional fees to said Deposit Account.

In conclusion, Applicants respectfully ask that the documents listed above be considered by the Examiner in the above-identified patent application and that they be made officially of record therein. It is further requested that a listing of the same appear on the face of any patent that may issue from this application.

Respectfully submitted,

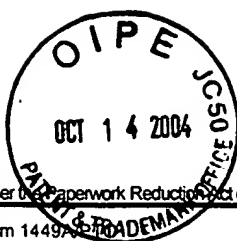
BANNER & WITCOFF, LTD.

Dated: October 14, 2004

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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A (07-00)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/625,989
Filing Date	July 26, 2000
First Named Inventor	Timothy Brown
Art Unit	2126
Examiner Name	The T. Ho
Attorney Docket Number	005156.00011

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 5,915,250	06-22-1999	Jain et al.	
		US- 5,918,228	06-29-1999	Rich et al.	
		US- 6,072,994	06-06-2000	Phillips et al.	
		US- 6,202,207	03-13-2001	Donohue	
		US- 6,332,146	12-18-2001	Jebens et al.	
		US- 6,370,573	04-09-2002	Bowman-Amuah	
		US- 6,167,395	12-26-2000	Beck et al.	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Office Action dated June 4, 2004 from related application 09/625,991	
		Office Action dated November 17, 2003 from related application 09/625,991	
		Office Action dated May 22, 2003 from related application 09/625,991	
		Office Action dated November 20, 2002 from related application 09/625,991	
		Office Action dated May 22, 2002 from related application 09/625,991	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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